## COT 5407: Introduction to Algorithms Giri NARASIMHAN

 www.cs.fiu.edu/~giri/teach/5407S19.html
## 2 <br> Beating the Lower Bound

- Bucket Sort
- Runs in time $\mathrm{O}(\mathrm{N}+\mathrm{K})$ given N integers in range $[\mathrm{a}+1, \mathrm{a}+\mathrm{K}]$
- If $\mathrm{K}=\mathrm{O}(\mathrm{N})$, we are able to sort in $\mathrm{O}(\mathrm{N})$
- How is it possible to beat the lower bound?
- Only because we know more about the data.
- If nothing is know about the data, the lower bound holds.
- Radix Sort
- Runs in time $\mathrm{O}(\mathrm{d}(\mathrm{N}+\mathrm{K}))$ given N items with d digits each in range $[1, \mathrm{~K}]$
- Counting Sort
- Runs in time $\mathrm{O}(\mathrm{N}+\mathrm{K})$ given N items in range $[\mathrm{a}+1, \mathrm{a}+\mathrm{K}]$


## Bucket Sort

- $\quad \mathbf{N}$ integer values in the range [a..a+m-1]
- For e.g., sort a list of 50 scores in the range [0..9].
- Algorithm
- Make m buckets [a..a+m-1]
- As you read elements throw into appropriate bucket
- Output contents of buckets [0..m] in that order
- Time O(N+m)
- Warning: This algorithm cannot be used for "infiniteprecision" real numbers, even if the range of values is specified.


## Stable Sort

- A sort is stable if equal elements appear in the same order in both the input and the output.
Which sorts are stable?


## 5 Radix Sort

$\left.\begin{array}{lll}3 & 5 & 9 \\ 3 & 5 & 7 \\ 3 & 5 & 1 \\ 7 & 3 & 9 \\ 3 & 3 & 6 \\ 7 & 2 & 0\end{array} \quad \begin{array}{lll}3 & 5 & 9 \\ 3 & 5 & 5\end{array} \quad \begin{array}{l}7 \\ 3\end{array}\right)$

| 3 | 3 | 6 | 3 |  | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 5 | 9 | 3 | 5 | 1 |
| 3 | 5 | 7 | 3 | 5 | 5 |
| 3 | 5 | 1 | 3 | 5 | 7 |
| 3 | 5 | 5 | 3 | 5 | 9 |
| 7 | 2 | 0 | 7 | 2 | 0 |
| 7 | 3 | 9 | 8 | 3 | 9 |

## Algorithm

for $\mathrm{i}=1$ to d do
sort array A on digit i using any sorting algorithm
Time Complexity: $\mathrm{O}\left((\mathrm{N}+\mathrm{m})+\left(\mathrm{N}+\mathrm{m}^{2}\right)+\ldots+\left(\mathrm{N}+\mathrm{m}^{\mathrm{d}}\right)\right)$

## . Radix Sort

| 3 | 2 | 9 | 7 | 2 | 0 | 7 | 2 | 0 | 3 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 5 | 7 | 3 | 5 | 5 | 3 | 2 | 9 | 3 | 5 |  |
| 6 | 5 | 7 | 4 | 3 | 6 | 4 | 3 | 6 | 4 |  |  |
| 8 | 3 | 9 | 4 | 5 | 7 | 8 | 3 | 9 | 4 | 5 |  |
| 4 | 3 | 6 | 6 | 5 | 7 | 3 | 5 | 5 | 6 | 5 |  |
| 7 | 2 | 0 | 3 | 2 | 9 | 4 | 5 | 7 | 7 | 2 | 0 |
| 3 | 5 | 5 | 8 | 3 | 9 | 6 | 5 | 7 | 8 | 3 |  |

Algorithm
Time Complexity: $\mathrm{O}((\mathrm{n}+\mathrm{m}) \mathrm{d})$
for $i=1$ to do
sort array A on digit i using a stable sort algorithm

- Warning: This algorithm cannot be used for "infinite-precision" real numbers, even if the range of values is specified.


## Counting Sort

Initial Array

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2 | 5 | 3 | 0 | 2 | 3 | 0 | 3 |
| Counts |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  | 0 1 2 3 4 |  |  |  |  |  |  |
|  | 2 | 4 | 7 | 7 | 8 |  |  |

-Warning: This algorithm cannot be used for "infinite-precision" real numbers, even if the range of values is specified.

## Bree Sorting

- BST is a search structure that helps efficient search
- Search can be done in $O(h)$ time, where $h=$ height of BST
- Also inserts and deletes can be done in O(h) time
- Unfortunately, Height h = O(N)
- Balanced BST improves BST with $\mathrm{h}=\mathrm{O}(\log \mathrm{N})$
- Thus search can be done in $\mathrm{O}(\log \mathrm{N})$
- And, inserts and deletes too can be done in $O(\log N)$ time
- We can use BBSTs in the following way:
- Repeatedly insert N items into a BBST
- Repeatedly delete the smallest item from the BBST until it is empty
- $\mathbf{N}$ inserts and $\mathbf{N}$ deletes can be done in $\mathbf{O}(\mathbf{N} \log \mathrm{N})$ time


## Order Statistics

- Maximum, Minimum
- Upper Bound

| 7 | 3 | 1 | 9 | 4 | 8 | 2 | 5 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

- O(n) because ??
- We have an algorithm with a single for-loop: n - 1 comparisons
- Lower Bound
- n - 1 comparisons


## - MinMax

- Upper Bound: 2(n-1) comparisons
- Lower Bound: 3n/2 comparisons
- Max and 2ndMax
- Upper Bound: (n-1) + (n-2) comparisons
- Lower Bound: Harder to prove


## k-Selection; Median

- Select the k-th smallest item in list
- Naïve Solution
- Sort;
- pick the k -th smallest item in sorted list. $O(n \log n)$ time complexity
Idea: Modify Partition from QuickSort
- How?
- Randomized solution: Average case O(n)
- Improved Solution: worst case O(n)


## Using Partition for k-Selection

```
Partition(artay A, inl p, int r)
    x\leftarrowAr] }\triangleright\mathrm{ Choose pivot
    i\leftarrowp-1
    for }j\leftarrowp\mathrm{ to }r-
        do if (A[j\leq \leq )
            then }i\leftarrowi+
                        exchange }A[i]\leftrightarrowA[j
    exchange }A[i+1]\leftrightarrowA[r
    return i+1
```

- Perform Partition from QuickSort (assume all unique items)
- Rank(pivot) = 1 + \# of items that are smaller than pivot
- If Rank(pivot) = k, we are done
- Else, recursively perform kSelection in one of the two partitions


## QuickSelect: a variant of QuickSort

```
QuickSelect(array A, int k, int p, int r)
Select k-th largest in subarray }A[p..r
if (p=r)
        then return }A[p
q}\leftarrow\operatorname{Partition(A,p,r)
4 i}\leftarrowq-p+1\quadD Compute rank of pivot
5 \mp@code { i f ~ ( i = k ) }
6
if (i>k)
8 then return QuickSelect ( }A,k,p,q
9 else return QuickSelect( }A,k-i,q+1,r
```


## k-Selection Time Complexity

- Perform Partition from QuickSort (assume all unique items)
- Rank(pivot) $=1+$ \# of items that are smaller than pivot
- If Rank(pivot) $=k$, we are done
- Else, recursively perform k-Selection in one of the two partitions
- On the average:
- Rank(pivot) $=n / 2$
- Average-case time
- $\mathrm{T}(\mathrm{N})=\mathrm{T}(\mathrm{N} / 2)+\mathrm{O}(\mathrm{N})$
- $T(N)=O(N)$
- Worst-case time
- $\mathrm{T}(\mathrm{N})=\mathrm{T}(\mathrm{N}-1)+\mathrm{O}(\mathrm{N})$
- $\mathrm{T}(\mathrm{N})=\mathrm{O}\left(\mathrm{N}^{2}\right)$

```
Partition(artay A, int p, int r)
    x\leftarrowA[r] \triangleright Choose pivot
    i\leftarrowp-1
    for }j\leftarrowp\mathrm{ to }r-
        do if (A[j]\leqx)
                then }i\leftarrowi+
                        cxchangc Ai]}\leftrightarrowA[j
    exchange }A[i+1]\leftrightarrowA[r
    return i+1
```


## Randomized Solution for k-Selection

- Uses RandomizedPartition instead of Partition
- RandomizedPartition picks the pivot uniformly at random from among the elements in the list to be partitioned.
Randomized $k$-Selection runs in $\mathrm{O}(\mathrm{N})$ time on the average
- Worst-case behavior is very poor O(N2)

