## A Dendrogram



Hierarchical Clustering [Johnson, SC, 1967]

- Given $n$ points in $R^{d}$, compute the distance between every pair of points
- While (not done)
- Pick closest pair of points $s_{i}$ and $s_{j}$ and make them part of the same cluster.
- Replace the pair by an average of the two $s_{i j}$ Try the applet at:


## Distance Metrics

- For clustering, define a distance function:
- Euclidean distance metrics

$$
D_{k}(X, Y)=\left[\sum_{i=1}^{d}\left(X_{i}-Y_{i}\right)^{k}\right]^{1 / k} \quad \mathrm{k}=2: \text { Euclidean Distance }
$$

- Pearson correlation coefficient

$$
\rho_{x y}=\frac{1}{d} \sum_{i=1}^{d}\left(\frac{X_{i}-\bar{X}}{\sigma_{x}}\right)\left(\frac{Y_{i}-\bar{Y}}{\sigma_{y}}\right) \quad-1 \leq \rho_{\mathrm{xy}} \geq 1
$$

## Start





End

## K-Means Clustering [McQueen '67]

## Repeat

- Start with randomly chosen cluster centers
- Assign points to give greatest increase in score
- Recompute cluster centers
- Reassign points
until (no changes)
Try the applet at: http://www. cs.mcaill.ca/ ~bonnef/project. html


## Self-Organizing Maps [Kohonen]

- Kind of neural network.
- Clusters data and find complex relationships between clusters.
- Helps reduce the dimensionality of the data.
- Map of 1 or 2 dimensions produced.
- Unsupervised Clustering
- Like K-Means, except for visualization


## SOM Algorithm

- Select SOM architecture, and initialize weight vectors and other parameters.
- While (stopping condition not satisfied) do for each input point $x$
- winning node $q$ has weight vector closest to $x$.
- Update weight vector of $q$ and its neighbors.
- Reduce neighborhood size and learning rate.


## SOM Algorithm Details

- Distance between $\times$ and weight veđ才arivil
- Winning node: $q(x)=\min _{i}\left\|x-w_{i}\right\|$
- Weight update function (for neighbors):

$$
w_{i}(k+1)=w_{i}(k)+\mu(k, x, i)\left[x(k)-w_{i}(k)\right]
$$

- Learning rate:

$$
\mu(k, x, i)=\eta_{0}(k) \exp \left(\frac{-\left\|r_{i}-r_{q(x)}\right\|^{2}}{\sigma^{2}}\right)
$$

## World Poverty SOM



## World Poverty Map



## Neural Networks



## Learning NN



## Types of NNs

- Recurrent NN
- Feed-forward NN
- Layered


## Other issues

- Hidden layers possible
- Different activation functions possible


## Application: Secondary Structure Prediction



## Support Vector Machines

- Supervised Statistical Learning Method for:
- Classification
- Regression
- Simplest Version:
- Training: Present series of labeled examples (e.g., gene expressions of tumor vs. normal cells)
- Prediction: Predict labels of new examples.


## Learning Problems



## SVM - Binary Classification

- Partition feature space with a surface.
- Surface is implied by a subset of the training points (vectors) near it. These vectors are referred to as Support Vectors.
- Efficient with high-dimensional data.
- Solid statistical theory
- Subsume several other methods.


## Learning Problems

## - Binary Classification

- Multi-class classification
- Regression

|  |  | Leamed threshold |  |  |  | Optimized threshold |  |  |  |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Class | Method | FP | FN | TP | TN | Cost | FP | FN | TP | TN | Cost |
| Tricarboxylic acid | Radial SVM | 8 | 8 | 9 | 2442 | 24 | 4 | 7 | 10 | 2446 | 18 |
|  | Dot-product-1 SVM | 11 | 9 | 8 | 2439 | 29 | 3 | 6 | 11 | 2447 | 15 |
|  | Dot-product-2 SVM | 5 | 10 | 7 | 2445 | 25 | 4 | 6 | 11 | 2446 | 16 |
|  | Dot-product-3 SVM | 4 | 12 | 5 | 2446 | 28 | 4 | 6 | 11 | 2446 | 16 |
|  | Parzen | 4 | 12 | 5 | 2446 | 28 | 0 | 12 | 5 | 2450 | 24 |
|  | FLD | 9 | 10 | 7 | 2441 | 29 | 7 | 8 | 9 | 2443 | 23 |
|  | C4.5 | 7 | 17 | 0 | 2443 | 41 | - | - | - | - | - |
|  | MOC1 | 3 | 16 | 1 | 2446 | 35 | - | - | - | - | - |
| Respiration | Radial SVM | 9 | 6 | 24 | 2428 | 21 | 8 | 4 | 26 | 2429 | 16 |
|  | Dot-product-1 SVM | 21 | 10 | 20 | 2416 | 41 | 6 | 9 | 21 | 2431 | 24 |
|  | Dot-product-2 SVM | 7 | 14 | 16 | 2430 | 35 | 7 | 6 | 24 | 2430 | 19 |
|  | Dot-product-3 SVM | 3 | 15 | 15 | 2434 | 33 | 7 | 6 | 24 | 2430 | 19 |
|  | Parzen | 22 | 10 | 20 | 2415 | 42 | 7 | 12 | 18 | 2430 | 31 |
|  | FLD | 10 | 10 | 20 | 2427 | 30 | 14 | 4 | 26 | 2423 | 22 |
|  | C4.5 | 18 | 17 | 13 | 2419 | 52 | - | - | - | - | - |
|  | MOC1 | 12 | 26 | 4 | 2425 | 64 | - | - | - | - | - |
| Ribosome | Radial SVM | 9 | 4 | 117 | 2337 | 17 | 6 | 1 | 120 | 2340 | 8 |
|  | Dot-product-1 SVM | 13 | 6 | 115 | 2333 | 25 | 11 | 1 | 120 | 2335 | 13 |
|  | Dot-product-2 SVM | 7 | 10 | 111 | 2339 | 27 | 9 | 1 | 120 | 2337 | 11 |
|  | Dot-product-3 SVM | 3 | 18 | 103 | 2343 | 39 | 7 | 1 | 120 | 2339 | 9 |
|  | Pazzen | 6 | 8 | 113 | 2340 | 22 | 5 | 8 | 113 | 2341 | 21 |
|  | FLD | 15 | 5 | 116 | 2331 | 25 | 8 | 3 | 118 | 2338 | 14 |
|  | C4.5 | 31 | 21 | 100 | 2315 | 73 | - | - | - | - | - |
|  | MOC1 | 26 | 26 | 95 | 2320 | 78 | - | - | - | - | - |

Table 2: Comparison of error rates for various classification methods. Classes are as described in Table 1. The methods are the radial basis function SVM, the SVMs using the scaled dot product kemel raised to the first, second and third power, Parzen windows, Fisher's linear discriminant, and the two decision tree learners, C4.5 and MOC1. The next five columns are the false positive, false negative, true positive and tue negative rates summed over three cross-validation splits, followed by the cost, which is the number of false positives plus twice the number of false negatives. These five columns are repeated twice, first using the threshold learned from the training set, and then using the threshold that minimizes the cost on the test set. The threshold optimization is not possible for the decision tree methods, since they do not produce ranked results.

| Class | Method | Leamed threshold |  |  |  |  | Optimized threshold |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | FP | FN | TP | TN | Cost | FP | FN | TP | TN | Cost |
| Proteasome | Radial SVM | 3 | 7 | 28 | 2429 | 17 | 4 | 5 | 30 | 2428 | 14 |
|  | Dot-product-1 SVM | 14 | 11 | 24 | 2418 | 36 | 2 | 7 | 28 | 2430 | 16 |
|  | Dot-product-2 SVM | 4 | 13 | 22 | 2428 | 30 | 4 | 6 | 29 | 2428 | 16 |
|  | Dot-product-3 SVM | 3 | 18 | 17 | 2429 | 39 | 2 | 7 | 28 | 2430 | 16 |
|  | Pazzen | 21 | 5 | 30 | 2411 | 31 | 3 | 9 | 26 | 2429 | 21 |
|  | FLD | 7 | 12 | 23 | 2425 | 31 | 12 | 7 | 28 | 2420 | 26 |
|  | C4.5 | 17 | 10 | 25 | 2415 | 37 | - | - | - | - | - |
|  | MOC1 | 10 | 17 | 18 | 2422 | 44 | - | - | - | - | - |
| Histone | Radial SVM | 0 | 2 | 9 | 2456 | 4 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-1 SVM | 0 | 4 | 7 | 2456 | 8 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-2 SVM | 0 | 5 | 6 | 2456 | 10 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-3 SVM | 0 | 8 | 3 | 2456 | 16 | 0 | 2 | 9 | 2456 | 4 |
|  | Pazzen | 2 | 3 | 8 | 2454 | 8 | 1 | 3 | 8 | 2455 | 7 |
|  | FLD | 0 | 3 | 8 | 2456 | 6 | 2 | 1 | 10 | 2454 | 4 |
|  | C4.5 | 2 | 2 | 9 | 2454 | 6 | - | - | - | - | - |
|  | MOC1 | 2 | 5 | 6 | 2454 | 12 | - | - | - | - | - |
| Helix-tum-helix | Radial SVM | 1 | 16 | 0 | 2450 | 33 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-1 SVM | 20 | 16 | 0 | 2431 | 52 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-2 SVM | 4 | 16 | 0 | 2447 | 36 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-3 SVM | 1 | 16 | 0 | 2450 | 33 | 0 | 16 | 0 | 2451 | 32 |
|  | Parzen | 14 | 16 | 0 | 2437 | 46 | 0 | 16 | 0 | 2451 | 32 |
|  | FLD | 14 | 16 | 0 | 2437 | 46 | 0 | 16 | 0 | 2451 | 32 |
|  | C4.5 | 2 | 16 | 0 | 2449 | 34 | - | - | - | - | - |
|  | MOC1 | 6 | 16 | 0 | 2445 | 38 | - | - | - | - | - |

Table 3: Comparison of error rates for various classification methods (continued). See caption for Table 2.

|  |  |  |  |  |  |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| Class | Kernel | Cost for cach split |  |  |  | Total |  |
| Tricarboxylic acid | Radial | 18 | 21 | 15 | 22 | 21 | 97 |
|  | Dot-product-1 | 15 | 22 | 18 | 23 | 22 | 100 |
|  | Dot-product-2 | 16 | 22 | 17 | 22 | 22 | 99 |
|  | Dot-product-3 | 16 | 22 | 17 | 23 | 22 | 100 |
| Respiration | Radial | 16 | 18 | 23 | 20 | 16 | 93 |
|  | Dot-product-1 | 24 | 24 | 29 | 27 | 23 | 127 |
|  | Dot-product-2 | 19 | 19 | 26 | 24 | 23 | 111 |
|  | Dot-product-3 | 19 | 19 | 26 | 22 | 21 | 107 |
| Ribosome | Radial | 8 | 12 | 15 | 11 | 13 | 59 |
|  | Dot-product-1 | 13 | 18 | 14 | 16 | 16 | 77 |
|  | Dot-product-2 | 11 | 16 | 14 | 16 | 15 | 72 |
|  | Dot-product-3 | 9 | 15 | 11 | 15 | 15 | 65 |
| Proteasome | Radial | 14 | 10 | 9 | 11 | 11 | 55 |
|  | Dot-product-1 | 16 | 12 | 12 | 17 | 19 | 76 |
|  | Dot-product-2 | 16 | 13 | 15 | 17 | 17 | 78 |
|  | Dot-product-3 | 16 | 13 | 16 | 16 | 17 | 79 |
| Histone | Radial | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-1 | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-2 | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-3 | 4 | 4 | 4 | 4 | 4 | 20 |

Table 4: Comparison of SVM performance using various kernels. For each of the MYGD classifications, SVMs were trained using four different kemel functions on five different random three-fold splits of the data, training on two-thirds and testing on the remaining third. The first column contains the class, as described in Table 1. The second column contains the kernel function, as described in Table 2. The next five columns contain the threshold-optimized cost (i.e., the number of false positives plus twice the number of false negatives) for each of the five random three-fold splits. The final column is the total cost across all five splits.

| Family | Gene | Locus | Error | Description |
| :--- | :--- | :--- | :--- | :--- |
| TCA | YPR001W | CIT3 | FN | mitochondrial citrate synthase |
|  | YOR142W | LSC1 | FN | $\alpha$ subunit of succinyl-CoA ligase |
|  | YNR001C | CIT1 | FN | mitochondrial citrate synthase |
|  | YLR174W | IDP2 | FN | isocitrate dehydrogenase |
|  | YIL125W | KGD1 | FN | $\alpha$-ketoglutarate dehydrogenase |
|  | YDR148C | KGD2 | FN | component of $\alpha$-ketoglutarate dehydrogenase <br> complex in mitochondria |
|  |  |  |  | mitochondrial form of isocitrate dehydrogenase |
|  | YDL066W | IDP1 | FN | mito |
|  | YBL015W | ACH1 | FP | acetyl CoA hydrolase |
| Resp | YPR191W | QCR2 | FN | ubiquinol cytochrome-c reductase core protein 2 |
|  | YPL271W | ATP15 | FN | ATP synthase epsilon subunit |
|  | YPL262W | FUM1 | FP | fumarase |
|  | YML120C | NDI1 | FP | mitochondrial NADH ubiquinone 6 oxidoreductase |
|  | YKL085W | MDH1 | FP | mitochondrial malate dehydrogenase |
|  | YDL067C | COX9 | FN | subunit VIIa of cytochrome c oxidase |
| Ribo | YPL037C | EGD1 | FP | $\beta$ subunit of the nascent-polypeptide-associated |
|  |  |  |  | complex (NAC) |
|  | YLR406C | RPL31B | FN | ribosomal protein L31B (L34B) (YL28) |
|  | YLR075W | RPL10 | FP | ribosomal protcin L10 |
|  | YAL003W | EFB1 | FP | translation elongation factor EF-1 $\beta$ |
| Prot | YHR027C | RPN1 | FN | subunit of 26S proteasome (PA700 subunit) |
|  | YGR270W | YTA7 | FN | member of CDC48/PAS1/SEC18 family of ATPases |
|  | YGR048W | UFD1 | FP | ubiquitin fusion degradation protcin |
|  | YDR069C | DOA4 | FN | ubiquitin isopeptidase |
|  | YDL020C | RPN4 | FN | involved in ubiquitin degradation pathway |
| Hist | YOL012C | HTA3 | FN | histone-related protein |
|  | YKL049C | CSE4 | FN | required for proper kinetochore function |
|  |  |  |  |  |

Table 6: Consistently misclassified genes. The table lists all 25 genes that are consistently misclassified by SVMs trained using the MYGD classifications listed in Table 1. Two types of errors are included: a false positive (FP) occurs when the SVM includes the gene in the given class but the MYGD classification does not; a false negative (FN) occurs when the SVM does not include the gene in the given class but the MYGD classification does.

| Kernel DF | Feature | FP | FN | TP | TN |
| :--- | :---: | :---: | :---: | :---: | :---: |
| dot-product 0 | 25 | 5 | 4 | 10 | 12 |
| dot-product 2 | 25 | 5 | 2 | 12 | 12 |
| dot-product 5 | 25 | 4 | 2 | 12 | 13 |
| dot-product 10 | 25 | 4 | 2 | 12 | 13 |
| dot-product 0 | 50 | 4 | 2 | 12 | 13 |
| dot-product 2 | 50 | 3 | 2 | 12 | 14 |
| dot-product 5 | 50 | 3 | 2 | 12 | 14 |
| dot-product 10 | 50 | 3 | 2 | 12 | 14 |
| dot-product 0 | 100 | 4 | 3 | 11 | 13 |
| dot-product 2 | 100 | 5 | 3 | 11 | 12 |
| dot-product 5 | 100 | 5 | 3 | 11 | 12 |
| dot-product 10 | 100 | 5 | 3 | 11 | 12 |
| dot-product 0 | 500 | 5 | 3 | 11 | 12 |
| dot-product 2 | 500 | 4 | 3 | 11 | 13 |
| dot-product 5 | 500 | 4 | 3 | 11 | 13 |
| dot-product 10 | 500 | 4 | 3 | 11 | 13 |
| dot-product 0 | 1000 | 7 | 3 | 11 | 10 |
| dot-product 2 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 5 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 10 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 0 | $\mathbf{9 7 8 0 2}$ | 17 | 0 | 14 | 0 |
| dot-product 2 | $\mathbf{9 7 8 0 2}$ | 9 | 2 | 12 | 8 |
| dot-product 5 | $\mathbf{9 7 8 0 2}$ | 7 | 3 | 11 | 10 |
| dot-product 10 | $\mathbf{9 7 8 0 2}$ | 5 | 3 | 11 | 12 |


| Dataset | Features | FP | FN | SVM <br> FP | SVM <br> FN |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Ovarian(original) | 97802 | 4.6 | 4.8 | 5 | 3 |
| Ovarian(modified) | 97802 | 4.4 | 3.4 | 0 | 0 |
| AML/ALL train | 7129 | 0.6 | 2.8 | 0 | 0 |
| AML treatment | 7129 | 4.8 | 3.5 | 3 | 2 |
| Colon | 2000 | 3.8 | 3.7 | 3 | 3 |

Table 5: Results for the perceptron on all data sets. The results are averaged over 5 shufflings of the data as this algorithm is sensitive to the order in which it receives the data points. The first column is the dataset used and the second is number of features in the dataset. For the ovarian and colon datasets, the number of normal tissues misclassified (FP) and the number of tumor tissues misclassified (FN) is reported. For the AML/ALL training dataset, the number of AML samples misclassified (FP) and the number of ALL patients misclassified (FN) is reported. For the AML treatment dataset, the number of unsuccessfully treated patients misclassified (FP) and the number of successfully treated patients misclassified (FN) is reported. The last two columns report the best score obtained by the SVM on that dataset.

Table 1: Error rates for ovarian cancer tissue experiments
For each setting of the SVM consisting of a kernel and diagonal factor (DF), each tissue was classified. Column 2 is the number of features (clones) used. Reported are the number of normal tissues misclassified (FP), tumor tissues misclassified (FN), tumor tissues classified correctly (TP), and normal tissues classified correctly (TN).


Figure 1: SVM classification margins for ovarian tissues. When classifying, the SVM calculates a margin which is the distance of an example from the decision boundary it has learned. In this graph, the margin for each tissue sample calculated using ( $\mathbf{1 0}$ ) is shown. A positive value indicates a correct classification, and a negative value indicates an incorrect classification. The most negative point corresponds to tissue N 039 . The second most negative point corresponds to tissue HWBC3

- SVMs perform binary classification by partitioning the feature space with a surface implied by a subset of the training points
(vectors) near the separating surface. These vectors are referred to as Support Vectors.
- Efficient with high-dimensional data.
- Solid statistical theory
- Subsume several other methods.


## SVM Example (Radial Basis Function)



Bioinformatics (Lec 17)
3/15/05

## SVM Ingredients

- Support Vectors
- Mapping from Input Space to Feature Space
- Dot Product - Kernel function
- Weights


## Classification of 2-D (Separable) data



## Classification of (Separable) 2-D data



## Classification of (Separable) 2-D data



- Margin of a point
- Margin of a point set


## Classification using the Separator



## Perceptron Algorithm (Primal)

Rosenblatt, 1956
Given separable training set $S$ and learning rate $\eta>0$
$\underline{\mathbf{w}}_{0}=\underline{0}$; // Weight
$\mathrm{b}_{0}=0$; // Bias
$k=0 ; R=\max \left\|\underline{x}_{i}\right\|$
repeat

$$
\underline{\mathbf{w}}=\Sigma \mathrm{a}_{\mathrm{i}} \mathrm{y}_{\mathrm{i}} \underline{\mathbf{x}}_{\mathrm{i}}
$$

$$
\begin{aligned}
& \text { for } \mathrm{i}=1 \text { to } \mathrm{N} \\
& \text { if } y_{i}\left(\underline{\mathbf{w}}_{k} \cdot \underline{x}_{i}+b_{k}\right) \leq 0 \text { then } \\
& \underline{\mathbf{w}}_{k+1}=\underline{\mathbf{w}}_{k}+\eta y_{i} \underline{\mathbf{x}}_{i} \\
& \mathrm{~b}_{\mathrm{k}+1}=b_{k}+\eta y_{i} R^{2} \\
& k=k+1
\end{aligned}
$$

Until no mistakes made within loop Return $k$, and ( $\underline{w}_{k}, b_{k}$ ) where $k=\#$ of mistakes

## Performance for Separable Data

## Theorem:

If margin $m$ of $S$ is positive, then $k \leq(2 R / m)^{2}$
i.e., the algorithm will always converge, and will converge quickly.

## Perceptron Algorithm (Dual)

Given a separable training set S
$\underline{\mathbf{a}}=\underline{0} ; \mathrm{b}_{0}=0$;
$\mathrm{R}=\max \left\|\underline{x}_{i}\right\|$
repeat
for $\mathrm{i}=1$ to N
if $y_{i}\left(\sum a_{j} y_{j} \underline{x}_{i} \cdot \underline{x}_{j}+b\right) \leq 0$ then
$a_{i}=a_{i}+1$
$b=b+y_{i} R^{2}$
endif
Until no mistakes made within loop Return (a, b)

## Non-linear Separators



## Main idea: Map into feature space



Figure 2. The idea of 55 machines map the traning data nonlnearly into a higher-dimersional feature space via $\Phi$, and constuci a separating hyperplane with maximum margin there. This yieks a nonlinear decision boundary in ipui quace. By the use of a kemel function, it is possible to pmpute the separating hpperplane without explicitly carrying out the mapitio the feaure quace.

## Non-linear Separators



## Useful URLs

## http://www.support-vector.net

## Perceptron Algorithm (Dual)

Given a separable training set $S$
$\underline{\mathbf{a}}=\underline{0} ; \mathrm{b}_{0}=0$;
$\mathrm{R}=\max \left\|\underline{x}_{i}\right\|$
repeat

$$
\text { for } \mathrm{i}=1 \text { to } \mathrm{N}
$$

$$
\begin{aligned}
& \text { if } y_{i}\left(\sum a_{j} y_{j} \kappa\left(x_{i}, x_{j}\right)+b\right) \leq 0 \text { then } \\
& a_{i}=a_{i}+1 \\
& b=b+y_{i} R^{2}
\end{aligned}
$$

Until no mistakes made within loop Return (a, b)

$$
\kappa\left(\underline{\mathrm{x}}_{i}, \underline{\mathrm{x}}_{\mathrm{i}}\right)=\Phi\left(\underline{\mathrm{x}}_{\mathrm{i}}\right) \bullet \Phi\left(\underline{\mathrm{x}}_{\mathrm{j}}\right)
$$

## Different Kernel Functions

- Polynomial kernel

$$
\kappa(X, Y)=(X \bullet Y)^{d}
$$

- Radial Basis Kernel

$$
\kappa(X, Y)=\exp \left(\frac{-\|X-Y\|^{2}}{2 \sigma^{2}}\right)
$$

## Sigmoid Kernel

$$
\kappa(X, Y)=\tanh (\omega(X \bullet Y)+\theta)
$$

## SVM Ingredients

- Support Vectors
- Mapping from Input Space to Feature Space
- Dot Product - Kernel function


## Generalizations

- How to deal with more than 2 classes?

Idea: Associate weight and bias for each class.

- How to deal with non-linear separator?

Idea: Support Vector Machines.

- How to deal with linear regression?
- How to deal with non-separable data?


## Applications

## Text Categorization \& Information Filtering

- 12,902 Reuters Stories, 118 categories ( $91 \%$ !!)
- Image Recognition
- Face Detection, tumor anomalies, defective parts in assembly line, etc.
Gene Expression Analysis
Protein Homology Detection

|  |  | Leamed threshold |  |  |  | Optimized threshold |  |  |  |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Class | Method | FP | FN | TP | TN | Cost | FP | FN | TP | TN | Cost |
| Tricarboxylic acid | Radial SVM | 8 | 8 | 9 | 2442 | 24 | 4 | 7 | 10 | 2446 | 18 |
|  | Dot-product-1 SVM | 11 | 9 | 8 | 2439 | 29 | 3 | 6 | 11 | 2447 | 15 |
|  | Dot-product-2 SVM | 5 | 10 | 7 | 2445 | 25 | 4 | 6 | 11 | 2446 | 16 |
|  | Dot-product-3 SVM | 4 | 12 | 5 | 2446 | 28 | 4 | 6 | 11 | 2446 | 16 |
|  | Parzen | 4 | 12 | 5 | 2446 | 28 | 0 | 12 | 5 | 2450 | 24 |
|  | FLD | 9 | 10 | 7 | 2441 | 29 | 7 | 8 | 9 | 2443 | 23 |
|  | C4.5 | 7 | 17 | 0 | 2443 | 41 | - | - | - | - | - |
|  | MOC1 | 3 | 16 | 1 | 2446 | 35 | - | - | - | - | - |
| Respiration | Radial SVM | 9 | 6 | 24 | 2428 | 21 | 8 | 4 | 26 | 2429 | 16 |
|  | Dot-product-1 SVM | 21 | 10 | 20 | 2416 | 41 | 6 | 9 | 21 | 2431 | 24 |
|  | Dot-product-2 SVM | 7 | 14 | 16 | 2430 | 35 | 7 | 6 | 24 | 2430 | 19 |
|  | Dot-product-3 SVM | 3 | 15 | 15 | 2434 | 33 | 7 | 6 | 24 | 2430 | 19 |
|  | Parzen | 22 | 10 | 20 | 2415 | 42 | 7 | 12 | 18 | 2430 | 31 |
|  | FLD | 10 | 10 | 20 | 2427 | 30 | 14 | 4 | 26 | 2423 | 22 |
|  | C4.5 | 18 | 17 | 13 | 2419 | 52 | - | - | - | - | - |
|  | MOC1 | 12 | 26 | 4 | 2425 | 64 | - | - | - | - | - |
| Ribosome | Radial SVM | 9 | 4 | 117 | 2337 | 17 | 6 | 1 | 120 | 2340 | 8 |
|  | Dot-product-1 SVM | 13 | 6 | 115 | 2333 | 25 | 11 | 1 | 120 | 2335 | 13 |
|  | Dot-product-2 SVM | 7 | 10 | 111 | 2339 | 27 | 9 | 1 | 120 | 2337 | 11 |
|  | Dot-product-3 SVM | 3 | 18 | 103 | 2343 | 39 | 7 | 1 | 120 | 2339 | 9 |
|  | Pazzen | 6 | 8 | 113 | 2340 | 22 | 5 | 8 | 113 | 2341 | 21 |
|  | FLD | 15 | 5 | 116 | 2331 | 25 | 8 | 3 | 118 | 2338 | 14 |
|  | C4.5 | 31 | 21 | 100 | 2315 | 73 | - | - | - | - | - |
|  | MOC1 | 26 | 26 | 95 | 2320 | 78 | - | - | - | - | - |

Table 2: Comparison of error rates for various classification methods. Classes are as described in Table 1. The methods are the radial basis function SVM, the SVMs using the scaled dot product kemel raised to the first, second and third power, Parzen windows, Fisher's linear discriminant, and the two decision tree learners, C4.5 and MOC1. The next five columns are the false positive, false negative, true positive and tue negative rates summed over three cross-validation splits, followed by the cost, which is the number of false positives plus twice the number of false negatives. These five columns are repeated twice, first using the threshold learned from the training set, and then using the threshold that minimizes the cost on the test set. The threshold optimization is not possible for the decision tree methods, since they do not produce ranked results.

| Class | Method | Leamed threshold |  |  |  |  | Optimized threshold |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | FP | FN | TP | TN | Cost | FP | FN | TP | TN | Cost |
| Proteasome | Radial SVM | 3 | 7 | 28 | 2429 | 17 | 4 | 5 | 30 | 2428 | 14 |
|  | Dot-product-1 SVM | 14 | 11 | 24 | 2418 | 36 | 2 | 7 | 28 | 2430 | 16 |
|  | Dot-product-2 SVM | 4 | 13 | 22 | 2428 | 30 | 4 | 6 | 29 | 2428 | 16 |
|  | Dot-product-3 SVM | 3 | 18 | 17 | 2429 | 39 | 2 | 7 | 28 | 2430 | 16 |
|  | Pazzen | 21 | 5 | 30 | 2411 | 31 | 3 | 9 | 26 | 2429 | 21 |
|  | FLD | 7 | 12 | 23 | 2425 | 31 | 12 | 7 | 28 | 2420 | 26 |
|  | C4.5 | 17 | 10 | 25 | 2415 | 37 | - | - | - | - | - |
|  | MOC1 | 10 | 17 | 18 | 2422 | 44 | - | - | - | - | - |
| Histone | Radial SVM | 0 | 2 | 9 | 2456 | 4 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-1 SVM | 0 | 4 | 7 | 2456 | 8 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-2 SVM | 0 | 5 | 6 | 2456 | 10 | 0 | 2 | 9 | 2456 | 4 |
|  | Dot-product-3 SVM | 0 | 8 | 3 | 2456 | 16 | 0 | 2 | 9 | 2456 | 4 |
|  | Pazzen | 2 | 3 | 8 | 2454 | 8 | 1 | 3 | 8 | 2455 | 7 |
|  | FLD | 0 | 3 | 8 | 2456 | 6 | 2 | 1 | 10 | 2454 | 4 |
|  | C4.5 | 2 | 2 | 9 | 2454 | 6 | - | - | - | - | - |
|  | MOC1 | 2 | 5 | 6 | 2454 | 12 | - | - | - | - | - |
| Helix-tum-helix | Radial SVM | 1 | 16 | 0 | 2450 | 33 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-1 SVM | 20 | 16 | 0 | 2431 | 52 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-2 SVM | 4 | 16 | 0 | 2447 | 36 | 0 | 16 | 0 | 2451 | 32 |
|  | Dot-product-3 SVM | 1 | 16 | 0 | 2450 | 33 | 0 | 16 | 0 | 2451 | 32 |
|  | Parzen | 14 | 16 | 0 | 2437 | 46 | 0 | 16 | 0 | 2451 | 32 |
|  | FLD | 14 | 16 | 0 | 2437 | 46 | 0 | 16 | 0 | 2451 | 32 |
|  | C4.5 | 2 | 16 | 0 | 2449 | 34 | - | - | - | - | - |
|  | MOC1 | 6 | 16 | 0 | 2445 | 38 | - | - | - | - | - |

Table 3: Comparison of error rates for various classification methods (continued). See caption for Table 2.

|  |  |  |  |  |  |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| Class | Kernel | Cost for cach split |  |  |  | Total |  |
| Tricarboxylic acid | Radial | 18 | 21 | 15 | 22 | 21 | 97 |
|  | Dot-product-1 | 15 | 22 | 18 | 23 | 22 | 100 |
|  | Dot-product-2 | 16 | 22 | 17 | 22 | 22 | 99 |
|  | Dot-product-3 | 16 | 22 | 17 | 23 | 22 | 100 |
| Respiration | Radial | 16 | 18 | 23 | 20 | 16 | 93 |
|  | Dot-product-1 | 24 | 24 | 29 | 27 | 23 | 127 |
|  | Dot-product-2 | 19 | 19 | 26 | 24 | 23 | 111 |
|  | Dot-product-3 | 19 | 19 | 26 | 22 | 21 | 107 |
| Ribosome | Radial | 8 | 12 | 15 | 11 | 13 | 59 |
|  | Dot-product-1 | 13 | 18 | 14 | 16 | 16 | 77 |
|  | Dot-product-2 | 11 | 16 | 14 | 16 | 15 | 72 |
|  | Dot-product-3 | 9 | 15 | 11 | 15 | 15 | 65 |
| Proteasome | Radial | 14 | 10 | 9 | 11 | 11 | 55 |
|  | Dot-product-1 | 16 | 12 | 12 | 17 | 19 | 76 |
|  | Dot-product-2 | 16 | 13 | 15 | 17 | 17 | 78 |
|  | Dot-product-3 | 16 | 13 | 16 | 16 | 17 | 79 |
| Histone | Radial | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-1 | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-2 | 4 | 4 | 4 | 4 | 4 | 20 |
|  | Dot-product-3 | 4 | 4 | 4 | 4 | 4 | 20 |

Table 4: Comparison of SVM performance using various kernels. For each of the MYGD classifications, SVMs were trained using four different kemel functions on five different random three-fold splits of the data, training on two-thirds and testing on the remaining third. The first column contains the class, as described in Table 1. The second column contains the kernel function, as described in Table 2. The next five columns contain the threshold-optimized cost (i.e., the number of false positives plus twice the number of false negatives) for each of the five random three-fold splits. The final column is the total cost across all five splits.

| Family | Gene | Locus | Error | Description |
| :--- | :--- | :--- | :--- | :--- |
| TCA | YPR001W | CIT3 | FN | mitochondrial citrate synthase |
|  | YOR142W | LSC1 | FN | $\alpha$ subunit of succinyl-CoA ligase |
|  | YNR001C | CIT1 | FN | mitochondrial citrate synthase |
|  | YLR174W | IDP2 | FN | isocitrate dehydrogenase |
|  | YIL125W | KGD1 | FN | $\alpha$-ketoglutarate dehydrogenase |
|  | YDR148C | KGD2 | FN | component of $\alpha$-ketoglutarate dehydrogenase <br> complex in mitochondria |
|  |  |  |  | mitochondrial form of isocitrate dehydrogenase |
|  | YDL066W | IDP1 | FN | mito |
|  | YBL015W | ACH1 | FP | acetyl CoA hydrolase |
| Resp | YPR191W | QCR2 | FN | ubiquinol cytochrome-c reductase core protein 2 |
|  | YPL271W | ATP15 | FN | ATP synthase epsilon subunit |
|  | YPL262W | FUM1 | FP | fumarase |
|  | YML120C | NDI1 | FP | mitochondrial NADH ubiquinone 6 oxidoreductase |
|  | YKL085W | MDH1 | FP | mitochondrial malate dehydrogenase |
|  | YDL067C | COX9 | FN | subunit VIIa of cytochrome c oxidase |
| Ribo | YPL037C | EGD1 | FP | $\beta$ subunit of the nascent-polypeptide-associated |
|  |  |  |  | complex (NAC) |
|  | YLR406C | RPL31B | FN | ribosomal protein L31B (L34B) (YL28) |
|  | YLR075W | RPL10 | FP | ribosomal protcin L10 |
|  | YAL003W | EFB1 | FP | translation elongation factor EF-1 $\beta$ |
| Prot | YHR027C | RPN1 | FN | subunit of 26S proteasome (PA700 subunit) |
|  | YGR270W | YTA7 | FN | member of CDC48/PAS1/SEC18 family of ATPases |
|  | YGR048W | UFD1 | FP | ubiquitin fusion degradation protcin |
|  | YDR069C | DOA4 | FN | ubiquitin isopeptidase |
|  | YDL020C | RPN4 | FN | involved in ubiquitin degradation pathway |
| Hist | YOL012C | HTA3 | FN | histone-related protein |
|  | YKL049C | CSE4 | FN | required for proper kinetochore function |
|  |  |  |  |  |

Table 6: Consistently misclassified genes. The table lists all 25 genes that are consistently misclassified by SVMs trained using the MYGD classifications listed in Table 1. Two types of errors are included: a false positive (FP) occurs when the SVM includes the gene in the given elass but the MYGD classification does not; a false negative (FN) occurs when the SVM does not include the gene in the given class but the MYGD classification does.

| Kernel DF | Feature | FP | FN | TP | TN |
| :--- | :---: | :---: | :---: | :---: | :---: |
| dot-product 0 | 25 | 5 | 4 | 10 | 12 |
| dot-product 2 | 25 | 5 | 2 | 12 | 12 |
| dot-product 5 | 25 | 4 | 2 | 12 | 13 |
| dot-product 10 | 25 | 4 | 2 | 12 | 13 |
| dot-product 0 | 50 | 4 | 2 | 12 | 13 |
| dot-product 2 | 50 | 3 | 2 | 12 | 14 |
| dot-product 5 | 50 | 3 | 2 | 12 | 14 |
| dot-product 10 | 50 | 3 | 2 | 12 | 14 |
| dot-product 0 | 100 | 4 | 3 | 11 | 13 |
| dot-product 2 | 100 | 5 | 3 | 11 | 12 |
| dot-product 5 | 100 | 5 | 3 | 11 | 12 |
| dot-product 10 | 100 | 5 | 3 | 11 | 12 |
| dot-product 0 | 500 | 5 | 3 | 11 | 12 |
| dot-product 2 | 500 | 4 | 3 | 11 | 13 |
| dot-product 5 | 500 | 4 | 3 | 11 | 13 |
| dot-product 10 | 500 | 4 | 3 | 11 | 13 |
| dot-product 0 | 1000 | 7 | 3 | 11 | 10 |
| dot-product 2 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 5 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 10 | 1000 | 5 | 3 | 11 | 12 |
| dot-product 0 | $\mathbf{9 7 8 0 2}$ | 17 | 0 | 14 | 0 |
| dot-product 2 | $\mathbf{9 7 8 0 2}$ | 9 | 2 | 12 | 8 |
| dot-product 5 | $\mathbf{9 7 8 0 2}$ | 7 | 3 | 11 | 10 |
| dot-product 10 | $\mathbf{9 7 8 0 2}$ | 5 | 3 | 11 | 12 |


| Dataset | Features | FP | FN | SVM <br> FP | SVM <br> FN |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Ovarian(original) | 97802 | 4.6 | 4.8 | 5 | 3 |
| Ovarian(modified) | 97802 | 4.4 | 3.4 | 0 | 0 |
| AML/ALL train | 7129 | 0.6 | 2.8 | 0 | 0 |
| AML treatment | 7129 | 4.8 | 3.5 | 3 | 2 |
| Colon | 2000 | 3.8 | 3.7 | 3 | 3 |

Table 5: Results for the perceptron on all data sets. The results are averaged over 5 shufflings of the data as this algorithm is sensitive to the order in which it receives the data points. The first column is the dataset used and the second is number of features in the dataset. For the ovarian and colon datasets, the number of normal tissues misclassified (FP) and the number of tumor tissues misclassified (FN) is reported. For the AML/ALL training dataset, the number of AML samples misclassified (FP) and the number of ALL patients misclassified (FN) is reported. For the AML treatment dataset, the number of unsuccessfully treated patients misclassified (FP) and the number of successfully treated patients misclassified (FN) is reported. The last two columns report the best score obtained by the SVM on that dataset.

Table 1: Error rates for ovarian cancer tissue experiments
For each setting of the SVM consisting of a kernel and diagonal factor (DF), each tissue was classified. Column 2 is the number of features (clones) used. Reported are the number of normal tissues misclassified (FP), tumor tissues misclassified (FN), tumor tissues classified correctly (TP), and normal tissues classified correctly (TN).


Figure 1: SVM classification margins for ovarian tissues. When classifying, the SVM calculates a margin which is the distance of an example from the decision boundary it has learned. In this graph, the margin for each tissue sample calculated using ( $\mathbf{1 0}$ ) is shown. A positive value indicates a correct classification, and a negative value indicates an incorrect classification. The most negative point corresponds to tissue N 039 . The second most negative point corresponds to tissue HWBC3

## SVM Example (Radial Basis Function)



Bioinformatics (Lec 17)
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