

# BST: Insert

TREEINSERT(*tree*  $T$ , *node*  $z$ )

▷ Insert node  $z$  in tree  $T$

1  $y \leftarrow \text{NIL}$

2  $x \leftarrow \text{root}[T]$

3 **while** ( $x \neq \text{NIL}$ )

4     **do**  $y \leftarrow x$

5         **if** ( $\text{key}[z] < \text{key}[x]$ )

6             **then**  $x \leftarrow \text{left}[x]$

7             **else**  $x \leftarrow \text{right}[x]$

8  $p[z] \leftarrow y$

9 **if** ( $y = \text{NIL}$ )

10     **then**  $\text{root}[T] \leftarrow z$

11     **else if** ( $\text{key}[z] < \text{key}[y]$ )

12         **then**  $\text{left}[y] \leftarrow z$

13         **else**  $\text{right}[y] \leftarrow z$

Time Complexity:  $O(h)$

$h$  = height of binary search tree

Search for  $x$  in  $T$

Insert  $x$  as leaf in  $T$

# BST: Delete

Time Complexity:  $O(h)$

$h$  = height of binary search tree

TREEDELETE(*tree T, node z*)

▷ Delete node  $z$  from tree  $T$

```
1  if ((left[ $z$ ] = NIL) or (right[ $z$ ] = NIL))
2      then  $y \leftarrow z$ 
3      else  $y \leftarrow$  TREE-SUCCESSOR( $z$ )
4  if (left[ $y$ ]  $\neq$  NIL)
5      then  $x \leftarrow$  left[ $y$ ]
6      else  $x \leftarrow$  right[ $y$ ]
7  if ( $x \neq$  NIL)
8      then  $p[x] \leftarrow p[y]$ 
9  if ( $p[y] =$  NIL)
10     then root[ $T$ ]  $\leftarrow x$ 
11     else if ( $y =$  left[ $p[y]$ ])
12         then left[ $p[y]$ ]  $\leftarrow x$ 
13         else right[ $p[y]$ ]  $\leftarrow x$ 
14  if ( $y \neq z$ )
15     then  $key[z] \leftarrow key[y]$ 
16         cop  $y$ 's satellite data into  $z$ 
17  return  $y$ 
```

Set  $y$  as the node to be deleted.  
It has at most one child, and let  
that child be node  $x$

If  $y$  has one child, then  $y$  is deleted  
and the parent pointer of  $x$  is fixed.

The child pointers of the parent of  $x$   
is fixed.

The contents of node  $z$  are fixed.

# Animations

- **BST:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/BST-Example.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/BST-Example.html)

- **Rotations:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/index2.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/index2.html)

- **RB-Trees:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/RedBlackTree-Example.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/RedBlackTree-Example.html)

# Red-Black (RB) Trees

- Every node in a red-black tree is colored either **red** or black.
  - The root is always black.
  - Every path on the tree, from the root down to the leaf, has the same number of black nodes.
  - No **red** node has a **red** child.
  - Every NIL pointer points to a special node called NIL[T] and is colored black.
- Every RB-Tree with **n** nodes has **black height** at most  **$\log n$**
- Every RB-Tree with **n** nodes has **height** at most  **$2\log n$**

# Red-Black Tree Insert

```
RB-Insert (T,z)           // pg 280
// Insert node z in tree T
y = NIL[T]
x = root[T]
while (x ≠ NIL[T]) do
    y = x
    if (key[z] < key[x])
        x = left[x]
    else
        x = right[x]

p[z] = y
if (y == NIL[T])
    root[T] = z
else if (key[z] < key[y])
    left[y] = z
else right[y] = z
// new stuff
left[z] = NIL[T]
right[z] = NIL[T]
color[z] = RED
RB-Insert-Fixup (T,z)
```

```
RB-Insert-Fixup (T,z)
while (color[p[z]] == RED) do
    if (p[z] = left[p[p[z]]) then
        y = right[p[p[z]])
        if (color[y] == RED) then // C-1
            color[p[z]] = BLACK
            color[y] = BLACK
            z = p[p[z]]
            color[z] = RED
        else if (z == right[p[p[z]]) then // C-2
            z = p[p[z]]
            LeftRotate(T,z)
            color[p[z]] = BLACK // C-3
            color[p[p[z]]) = RED
            RightRotate(T,p[p[z]])
        else
            // Symmetric code: "right" ↔ "left"
            ...
    color[root[T]] = BLACK
```

# Rotations

```
LeftRotate(T,x) // pg 278  
  // right child of x becomes x's parent.  
  // Subtrees need to be readjusted.  
  y = right[x]  
  right[x] = left[y]          // y's left subtree becomes x's right  
  p[left[y]] = x  
  p[y] = p[x]  
  if (p[x] == NIL[T]) then  
    root[T] = y  
  else if (x == left[p[x]]) then  
    left[p[x]] = y  
  else right[p[x]] = y  
  left[y] = x  
  p[x] = y
```

# Operations on **Dynamic** RB Trees

- **K-Selection**

- **Select** an item with a specified rank

**“Efficient” solution not possible without preprocessing**

**Preprocessing - store additional information at nodes**

- **Inverse of K-Selection**

- Find **rank** of an item in the tree

- **What information should be stored?**

- Rank
- ??

# OS-Rank

**OS-RANK(x,y)**

// Different from text (recursive version)

// Find the rank of x in the subtree rooted at y

1 r = size[left[y]] + 1

2 if x = y then return r

3 else if ( key[x] < key[y] ) then

4     return OS-RANK(x,left[y])

5 else return r + OS-RANK(x,right[y] )

Time Complexity  $O(\log n)$



# OS-Select

**OS-SELECT(x,i) //page 304**

**// Select the node with rank i**

**// in the subtree rooted at x**

1.  $r = \text{size}[\text{left}[x]] + 1$

2. if  $i = r$  then

3.       return  $x$

4. elseif  $i < r$  then

5.       return OS-SELECT (left[x], i)

6. else   return OS-SELECT (right[x],  $i - r$ )

Time Complexity  $O(\log n)$

# RB-Tree Augmentation

- Augment  $x$  with **Size( $x$ )**, where
  - $\text{Size}(x)$  = size of subtree rooted at  $x$
  - $\text{Size}(\text{NIL}) = 0$

# Augmented Data Structures

- Why is it needed?
  - Because basic data structures not enough for all operations
  - storing extra information helps execute special operations more efficiently.
- Can any data structure be augmented?
  - **Yes**. Any data structure can be augmented.
- Can a data structure be augmented with any additional information?
  - Theoretically, **yes**.
- How to choose which additional information to store.
  - Only if we can **maintain** the additional information efficiently under all operations. That means, with additional information, we need to perform old and new operations efficiently maintain the additional information efficiently.

# How to augment data structures

1. choose an underlying data structure
2. determine additional information to be maintained in the underlying data structure,
3. develop new operations,
4. verify that the additional information can be maintained for the modifying operations on the underlying data structure.

# Augmenting RB-Trees

Theorem 14.1, page 309

Let  $f$  be a field that augments a red-black tree  $T$  with  $n$  nodes, and  $f(x)$  can be computed using only the information in nodes  $x$ ,  $\text{left}[x]$ , and  $\text{right}[x]$ , including  $f[\text{left}[x]]$  and  $f[\text{right}[x]]$ .

Then, we can maintain  $f(x)$  during insertion and deletion without asymptotically affecting the  $O(\log n)$  performance of these operations.

For example,

$$\text{size}[x] = \text{size}[\text{left}[x]] + \text{size}[\text{right}[x]] + 1$$

$$\text{rank}[x] = ?$$

## Examples of augmenting information for RB-Trees

- Parent
- Height
- Any associative function on all previous values or all succeeding values.
- Next
- Previous