

# Animations

- **BST:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/BST-Example.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/BST-Example.html)

- **Rotations:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/index2.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/index2.html)

- **RB-Trees:**

[http://babbage.clarku.edu/~achou/cs160/examples/bst\\_animation/RedBlackTree-Example.html](http://babbage.clarku.edu/~achou/cs160/examples/bst_animation/RedBlackTree-Example.html)

# Binary Search Trees

- TreeSearch(x, k) // pg 257  
// Search for key k in tree rooted at x  
if ((x = NIL) or (k = key[x]))  
    return x  
if (k < key[x])  
    return TreeSearch(left[x], k)  
else  
    return TreeSearch(right[x], k)

# Binary Search Trees

```
• TreeInsert (T,z) // pg 261
  // Insert node z in tree T
  y = NIL
  x = root[T] // y follows x down the tree
               // when x is NIL, y points to a leaf

  while (x ≠ NIL) do
    y = x
    if (key[z] < key[x])
      x = left[x]
    else
      x = right[x]

  p[z] = y
  if (y == NIL)
    root[T] = z
  else if (key[z] < key[y])
    left[y] = z
  else right[y] = z
```

# Binary Search Trees

- TreeDelete(T,z) //page 262  
// delete node z in tree T  
    if (left[z] == NIL) or (right[z] == NIL) then  
        y = z  
    else y = TreeSuccessor(z) // y has at most 1 child  
    if (left[y] ≠ NIL) then  
        x = left[y]  
    else x = right[y] // x points to a child of y  
    if (x ≠ NIL) then  
        p[x] = p[y]  
    if (p[y] == NIL) then  
        root[T] = x  
    else if (y == left[p[y]]) then  
        left[p[y]] = x  
        else right[p[y]] = x  
    if (y ≠ z) then  
        key[z] = key[y]  
        copy y's data into z  
    return y

# Red-Black Trees

```
• RB-Insert (T,z) // pg 280
// Insert node z in tree T
// First BST-Insert
y = NIL
x = root[T]
while (x ≠ NIL) do
    y = x
    if (key[z] < key[x])
        x = left[x]
        x = right[x]

p[z] = y
if (y == NIL)
    root[T] = z
else if (key[z] < key[y])
    left[y] = z
else right[y] = z
left[z] = NIL[T]
right[z] = NIL[T]
color[z] = RED
RB-Insert-Fixup (T,z)
```

```
RB-Insert-Fixup (T,z) //page 281
while (color[p[z]] == RED) do
    if (p[z] = left[p[p[z]]) then
        y = right[p[p[z]])
        if (color[y] == RED) then // C-1
            color[p[z]] = BLACK
            color[y] = BLACK
            z = p[p[z]]
        else if (z == right[p[p[z]]) then // C-2
            z = p[z]
            LeftRotate(T,z)
            color[p[z]] = BLACK // C-3
            color[p[p[z]]) = RED
            RightRotate(T,p[p[z]])
        else
            // Symmetric code: "right" ↔ "left"
            ...
    color[root[T]] = BLACK
```

# Rotations

- LeftRotate(T,x) // pg 278  
// right child of x becomes x's parent.  
// Subtrees need to be readjusted.  
y = right[x]  
right[x] = left[y] // y's left subtree becomes x's right  
p[left[y]] = x  
p[y] = p[x]  
if (p[x] == NIL[T]) then  
    root[T] = y  
else if (x == left[p[x]]) then  
    left[p[x]] = y  
else right[p[x]] = y  
left[y] = x  
p[x] = y

# Augmented Data Structures

- Why is it needed?
  - Because basic data structures not enough for all operations
  - storing extra information helps execute special operations more efficiently.
- Can any data structure be augmented?
  - **Yes**. Any data structure can be augmented.
- Can a data structure be augmented with any additional information?
  - Theoretically, **yes**.
- How to choose which additional information to store.
  - Only if we can **maintain** the additional information efficiently under all operations. That means, with additional information, we need to perform old and new operations efficiently maintain the additional information efficiently.

# New Operations on RB-Trees

- Basic operations
  - RB-Search, RB-Insert, RB-Delete
- New Operations
  - Rank( $T, x$ )
  - Select( $T, k$ )
  - **NO EFFICIENT WAY TO IMPLEMENT THEM!**
  - **Unless more information is stored in each node!**
- What information to be added in each node?
  - Rank information
    - Very useful but hard to maintain under Insert/Delete
  - **Size** information
    - Useful and easy to maintain under Insert/Delete



# How to augment data structures

1. choose an underlying data structure
2. determine additional information to be maintained in the underlying data structure,
3. develop new operations,
4. verify that the additional information can be maintained for the modifying operations on the underlying data structure.

# RB-Tree Augmentation

- Augment  $x$  with **Size( $x$ )**, where
  - $\text{Size}(x)$  = size of subtree rooted at  $x$
  - $\text{Size}(\text{NIL}) = 0$

# OS-Select

**OS-SELECT(x,i) //page 304**

**// Select the node with rank i**

**// in the subtree rooted at x**

1.  $r \leftarrow \text{size}[\text{left}[x]]+1$
2. if  $i = r$  then
3.       return  $x$
4. elseif  $i < r$  then
5.       return OS-SELECT ( $\text{left}[x], i$ )
6. else    return OS-SELECT ( $\text{right}[x], i-r$ )

# OS-Rank

**OS-RANK(x,y)**

*// Different from text (recursive version)*

*// Find the rank of y in the subtree rooted at x*

1  $r = \text{size}[\text{left}[y]] + 1$

2 if  $x = y$  then return  $r$

3 else if (  $\text{key}[x] < \text{key}[y]$  ) then

4     return OS-RANK(x,left[y])

5 else return  $r + \text{OS-RANK}(x,\text{right}[y])$

Time Complexity  $O(\log n)$

# Augmenting RB-Trees

Theorem 14.1, page 309

Let  $f$  be a field that augments a red-black tree  $T$  with  $n$  nodes, and  $f(x)$  can be computed using only the information in nodes  $x$ ,  $\text{left}[x]$ , and  $\text{right}[x]$ , including  $f[\text{left}[x]]$  and  $f[\text{right}[x]]$ .

Then, we can maintain  $f(x)$  during insertion and deletion without asymptotically affecting the  $O(\lg n)$  performance of these operations.

For example,

$$\text{size}[x] = \text{size}[\text{left}[x]] + \text{size}[\text{right}[x]] + 1$$

$$\text{rank}[x] = ?$$

## Examples of augmenting information for RB-Trees

- Parent
- Height
- Any associative function on all previous values or all succeeding values.
- Next
- Previous